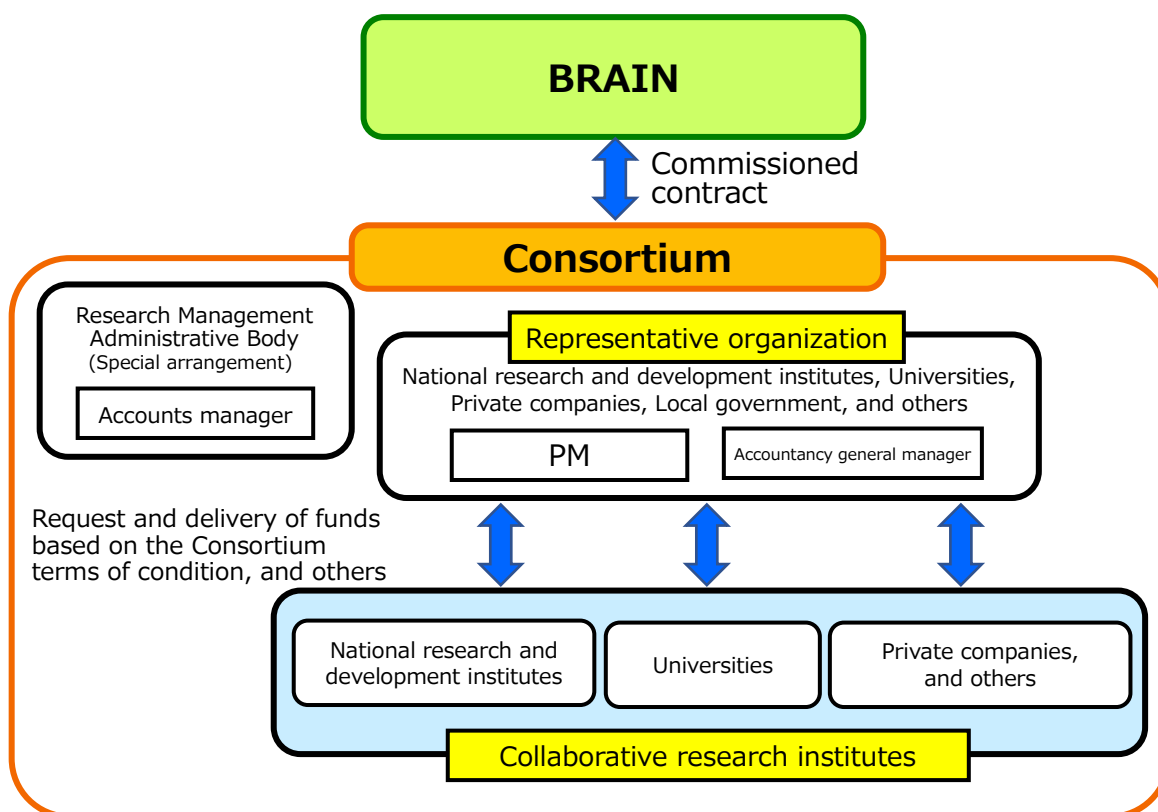


(Annex 6)

## Procedures of the Contracts for the Moonshot Research and Development Program for Agriculture, Forestry and Fisheries

Regarding the Moonshot Research and Development Program for Agriculture, Forestry and Fisheries, the Bio-oriented Technology Research Advancement Institution, National Agriculture and Food Research Organization (hereinafter referred to as the "BRAIN") will conclude a commissioned contract with the consignee being a Consortium jointly established by a Study Group comprising multiple organization members.

[Consortium Structure]



1. For the Consortium system contract, BRAIN will conclude a contract with the representative organization of the Consortium. Note that it is not the system of bilateral contracts between BRAIN and each organization member. The representative organization shall fulfill the "Requirements for Representative Organization" in Application Guidelines 3 (3), and after clarifying the allotment of roles of each

participating research institutions and others shall establish a Consortium as a unit of the contract jointly with other organization members. Regarding the expense of research fund each organization member must keep responsibility for execute it.

**【Consortium Establishment Methods】**

- i. Individual agreement for joining the Consortium: Method of establishing a Consortium Terms and Condition on conducting BRAIN-commissioned project and getting individual agreement of each research organization joining to the Consortium.
- ii. Joint signature agreement to the Consortium Terms and Condition: Method of establishing a Consortium Terms and Condition on conducting BRAIN-commissioned project and exchanging the written agreement in the joint names of each research organization of which the Consortium will consist of.
- iii. Joint Research Agreement between organizations: Method of concluding a Joint Research Agreement on jointly conducting BRAIN-commissioned project between research organizations of which the research group (joint research institution) will consist of.

※Please refer to appendixes for the model of the individual agreement, the joint signature agreement, and the Joint Research Agreement.

2. The main operation of the representative organization is as follows.

- i. Concluding the commissioned contract with BRAIN
- ii. Submitting invoice and receiving fund on BRAIN-commissioned project to/from BRAIN
- iii. Granting fund to Consortium members (Collaborative Research Institutes)
- iv. Application for alteration/suspension of the commissioned contract with BRAIN
- v. Drafting a research plan related to the commissioned research subject, managing the progress, and compiling the results
- vi. Ensuring compliance regarding the use of research fund related to the project commissioned to the Consortium and appropriate execution management of research fund
- vii. Promoting the acquisition of patent rights and others to members regarding research outcomes with intellectual property rights
- viii. Submitting research outcome report, and prior applications and various reports such as the application for patent rights (or direct implementation by each rights holder in case of the dissolution of the Consortium) and the prior application related to consent required by the commissioned contract.

- ix. Confirmation and collection of contents of performance reports (balance sheets and others) submitted by members
- x. Submitting the performance reports (balance sheets and others) to BRAIN
- xi. Reporting on follow-up surveys regarding the dissemination/utilization status of outcomes of BRAIN-commissioned project

3. This project will be allowed, only when BRAIN approves it necessary, to establish apart from the representative organization an institution (referred to hereafter as a “research management administrative body”) for undertaking subcontracted work and carrying out accountancy tasks against BRAIN.

The research management administrative body may be established in subdivided if the Consortium has many organization members.

[Examples of cases where a research management administrative body can be established]

- In the case that the PM belongs to a local government and it is admitted as difficult to proceed contracts or other procedures due to special requirements including budgetary measures in advance relating to implementation of research.
- In the case that the PM belongs to a small-to-medium-sized enterprise or that multiple small-to-medium-sized enterprises are participating in the Consortium and therefore it is recognized to have a risk of significantly delaying to conclude the commissioned contract .

[Requirements for a research management administrative body]

The research management administrative body must fulfill all the following requirements.

- i. An organization established in Japan with juridical personality (an individual cannot become a research management administrative body.).
- ii. Having the ability and systems to carry out appropriate management and operation in promoting the project. Specifically, having all the following abilities and systems from A-D.
  - A) The ability and systems to establish a Consortium and to conclude a commissioned contract with BRAIN
  - B) The ability and systems to carry out business management against BRAIN related to intellectual property rights

- C) The ability and management systems to execute appropriate funding operation including separate accounts processing, installing accounts managers, and executing fund management by multiple persons (including that the ability and management systems will be ensured by the start of research)
- D) The ability and systems to smoothly perform coordination tasks such as extension of research outcomes and liaison and coordination to organization members
- iii. Being in a region where research can be promoted together with the PM.
- iv. Having the ability to smoothly complete the commissioned contract procedures, such as having a track record of commissioned contracts with public institutions. As account processing becomes complex and it may lead delaying in paying procedures if the Consortium has many organization members, so please try to ensure the formation of a simple group for organization members.

As this arrangement is a special measure, if you wish to do it, please fill out the reason in Form 9 "Statement of reason for use of research management administrative body" of Attachment 5 "Project Plan Proposal." Note that the approval of the accounts manager in the representative organization is required.

- (Appendix 1) 1. Administrative Flow from Public Call to Conclusion of Contract
- (Appendix 2) 2. Administrative Flow from Conclusion of Contract to Decision of Amount of Fund (in Case of Payment by Estimate)
- (Appendix 3) XX Consortium Terms and Condition
- (Appendix 4) [ XX Consortium Terms and Condition] XX Consortium Administrative Processing Regulations
- (Appendix 5) [ XX Consortium Terms and Condition] XX Consortium Accounting Processing Regulations
- (Appendix 6) [ XX Consortium Terms and Condition] XX Consortium Intellectual Property Rights Handling Regulations
- (Appendix 7) [ XX Consortium Terms and Condition] "XX Consortium" Participation Agreement
- (Appendix 8) [XX Consortium Terms and Condition] "XX Consortium Terms and Condition" Consent Form
- (Appendix 9) XX Joint Research Institute Agreement Model